

# Data-Driven Smart Buildings

**Zhe Wang, PhD**

**Building Technology and Urban Systems Division**

# Smart Buildings

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What?



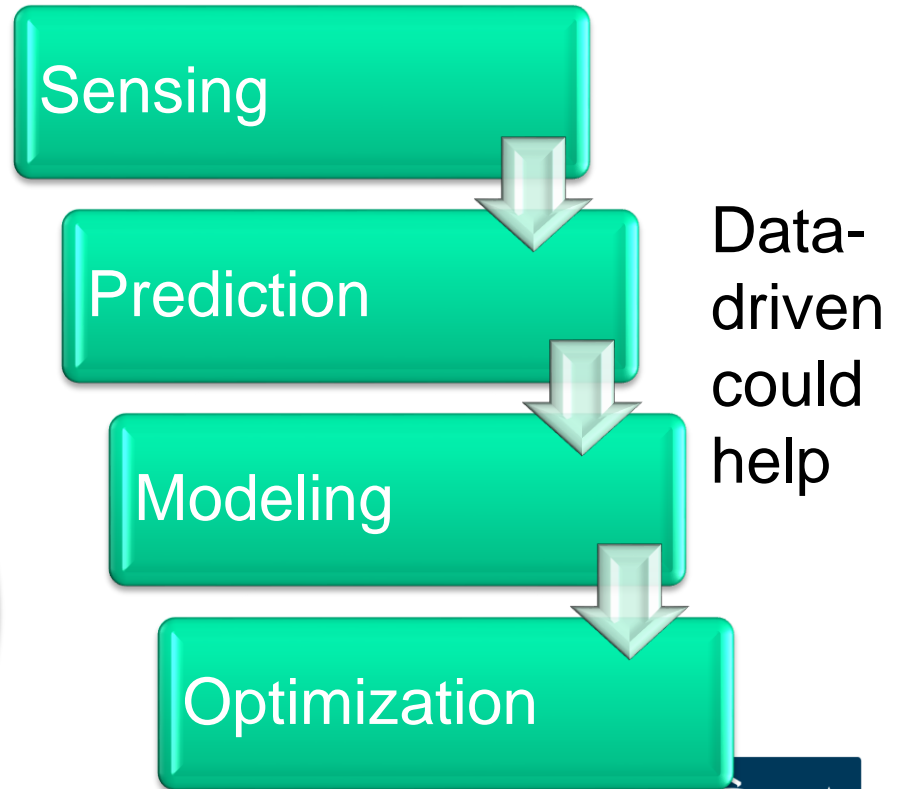
- Grid interactive
  - Flexible and resilient
  - Provide grid services
- Occupant responsive
  - Human building interaction
  - Respond to individual demand
  - Minimize unnecessary waste

# Smart Buildings

What?



How?



# Contents

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## ◆ Previous work

- ❑ Sensing
- ❑ Prediction
- ❑ Modelling

## ◆ Thoughts and future plans

- ❑ Data-driven vs. physics-based
- ❑ How

# Contents

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## ◆ Previous work

- ❑ Sensing
- ❑ Prediction
- ❑ Modelling

## ◆ Thoughts and future plans

- ❑ Data-driven vs. physics-based
- ❑ How

# Sensing

*You cannot manage what you cannot measure*

*-- Peter Drucker*

# Sensing

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## ◆ Conventional building

- Sensing *physical parameter* only



## ◆ Smart building

- *Occupant related* sensing

## ◆ Our work

- Enhance sensing accuracy, cost effectiveness, scalability, and address other concerns (e.g. privacy)

# Outlier Detection

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## ◆ Motivation

- ❑ Sensing *occupant response*
- ❑ Outliers in subjective comfort vote undermine *accuracy*
- ❑ Lack of research on detecting *outliers in subjective vote data*

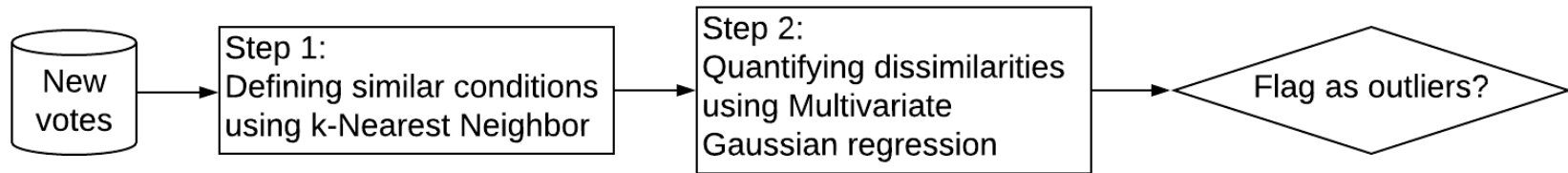
## ◆ Goal

- ❑ Proposes an outlier detection framework to automatically flag potential outliers in subjective thermal comfort votes
- ❑ Key challenge: individual difference vs. outliers



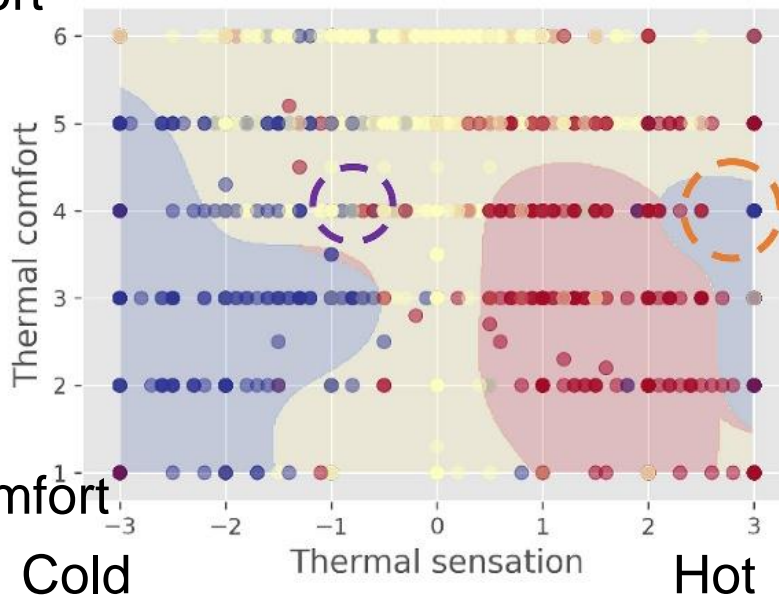
# Outlier Detection

## ◆ How?

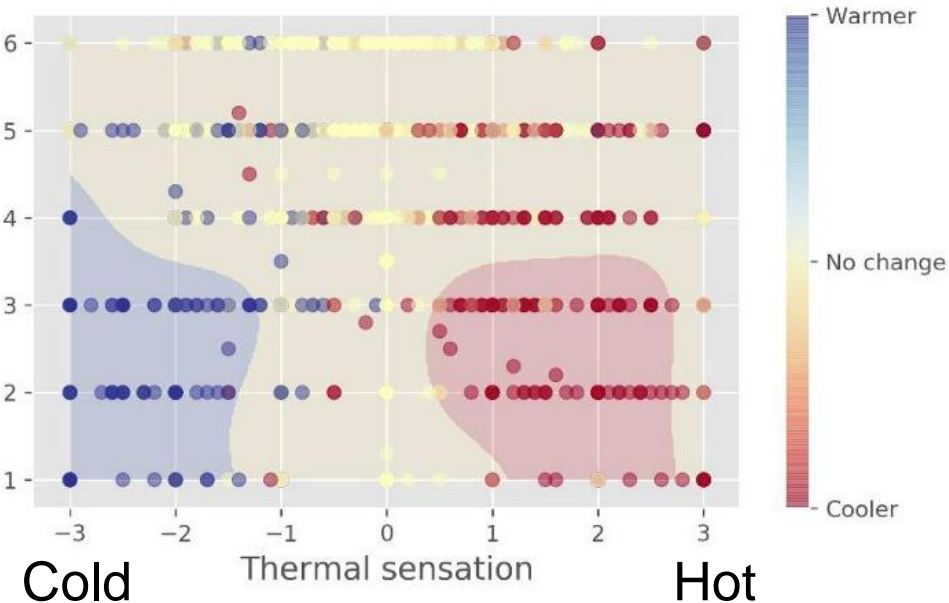


- Test it on ASHRAE thermal comfort database

Comfort



Discomfort



(a) Thermal preference model with outliers

(b) Thermal preference model without outliers

# Outlier Detection

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## ◆ Contribution

- ❑ We proposed a stochastic two-step framework
  - Users could tune contextual attributes, distance measures
- ❑ Could be used for real-time *occupant responsive control*
  - Computationally efficient
  - Active, online learning

Wang, Z., Parkinson, T., Li, P., Lin, B. and Hong, T., 2019. The Squeaky wheel: Machine learning for anomaly detection in subjective thermal comfort votes. *Building and Environment*, 151, pp.219-227.

# Inferring Occupant Counts

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## ◆ Motivation

- ❑ Sensing **occupant counts**
- ❑ Current occupant sensing technologies are *expensive* or *labor-intensive*

## ◆ Goal

- ❑ Propose a new approach to detect occupant counts through Wi-Fi, which is *non-intrusive, cost-effective*
- ❑ Challenge: accuracy vs. privacy

# Inferring Occupant Counts

## ◆ How?

### □ *Feature*

- Key idea: Cluster the devices based on connection time/duration
- The clustering could be done locally with a simple script (*edge computing*)

Time	Shuffled Device_ID	AP_ID
...		
20180521_0000	dfd6bafb68c1cd1f1e2d9190ca9d55f0	ap135-4206w
20180521_0000	e6c1fe930c6d2c2f2e2d9d69fc0abeda	ap135-3103
...		
20180521_0000	dd464552ecc1208e94a955bffee1f749	ap135-4110
20180521_0010	dfd6bafb68c1cd1f1e2d9190ca9d55f0	ap135-4206w
20180521_0010	e6c1fe930c6d2c2f2e2d9d69fc0abeda	ap135-3103
...		

(a) Raw data collected

Time	Target zone	Device_type	Device_count
...			
20180521_0000	Zone 1	Short term (less than 1h per day)	0
...			
20180521_0000	Zone 1	Long term (more than 12h per day)	20
20180521_0000	Zone 2	Short term (less than 1h per day)	0
...			
20180521_0000	Zone 2	Long term (more than 12h per day)	15
20180521_0010	Zone 1	Short term (less than 1h per day)	0
...			
20180521_0010	Zone 1	Long term (more than 12h per day)	21
...			

(b) data input to the machine learning algorithm

# Inferring Occupant Counts

## ◆ How?

### □ *Algorithm*

- Random Forest outperforms the other two
- The sequential information does not really help

	Random Forest (RF)	Neural Network (NN)	LSTM
RMSE on the training set	1.20	2.63	2.21
RMSE on the testing set	3.95	4.62	4.52
Computation time <sup>a</sup>	2.38s	24.86s	65.61s

Wang, Z., Hong, T., Piette, M.A. and Pritoni, M., 2019. Inferring occupant counts from Wi-Fi data in buildings through machine learning. *Building and Environment*, 158, pp.281-294.

# Sensing: summary

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## ◆ Use *data-driven* method to

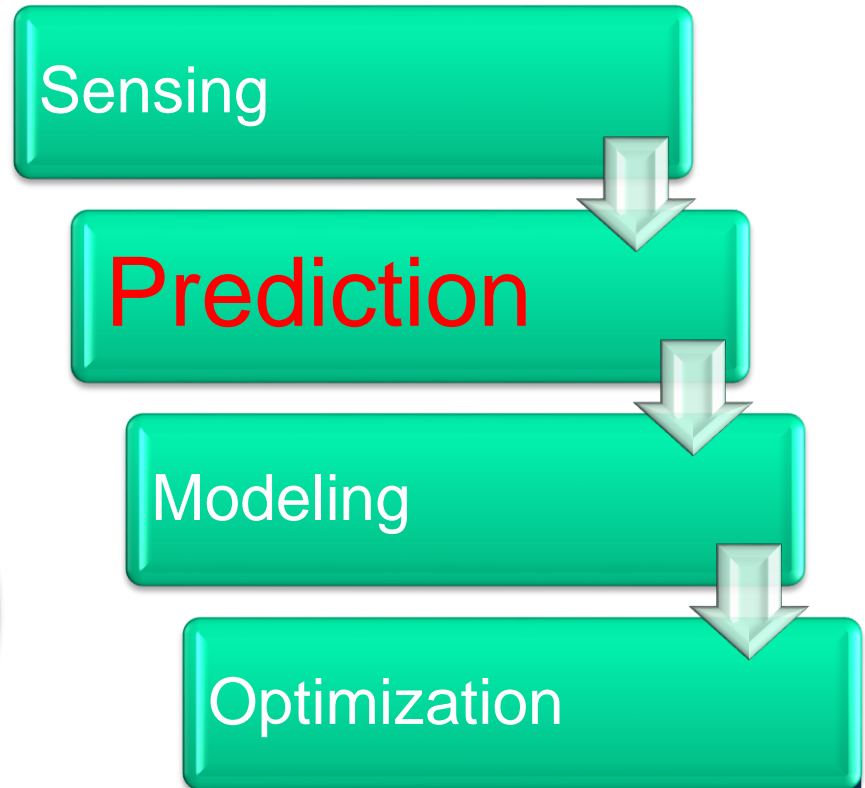
- *Sense occupant feedback*
  - *Accurate*
- *Sense occupant counts*
  - *Accurate*
  - *Cost-effective*
  - *Scalable*
  - *Protect privacy*

# Smart Buildings

What?



How?



# Prediction

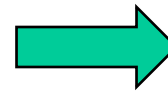
*Management is prediction*  
*-- Deming W.E.*



# Prediction

## ◆ Conventional building

- *Schedule, fixed*

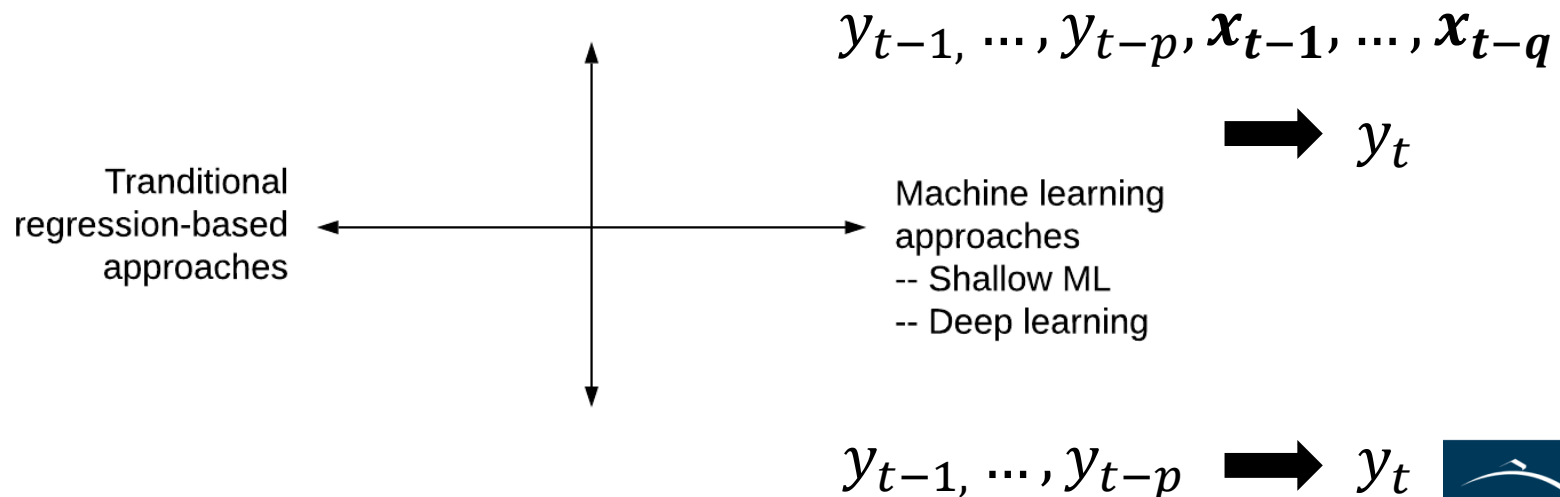


## ◆ Smart building

- *Prediction, adaptive*

## ◆ Our work

- Summarize and compare prediction methods



# Prediction: Method

## ◆ Case

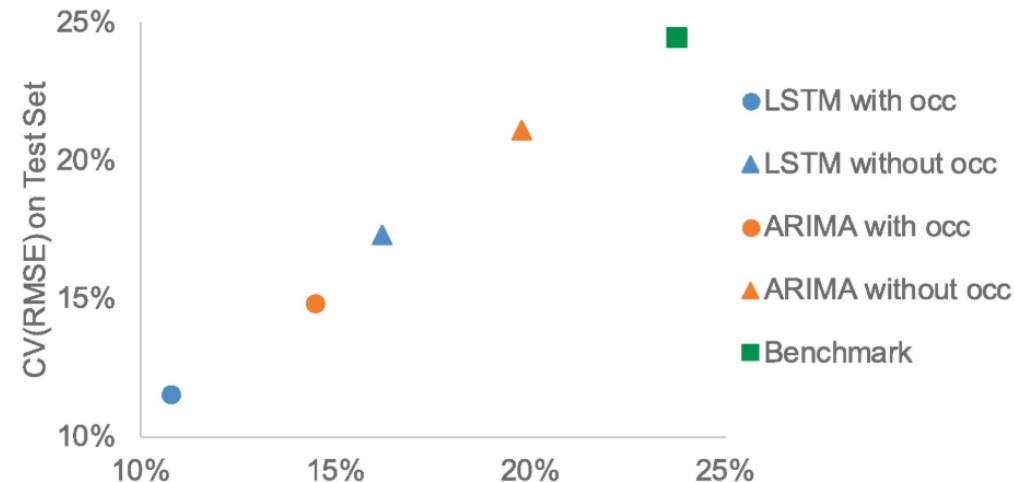
- ❑ Plug load prediction
- ❑ Comparison
  - Algorithm: *ARIMA* (Statistical) vs. *LSTM* (Machine Learning)
  - Additional feature: occupant count
- ❑ Baseline: naïve persistent method

## ◆ Finding

- ❑ *LSTM with occ* outperforms

## ◆ Implication

- ❑ Select machine learning
- ❑ Add relevant feature



# Prediction: Why ML outperforms

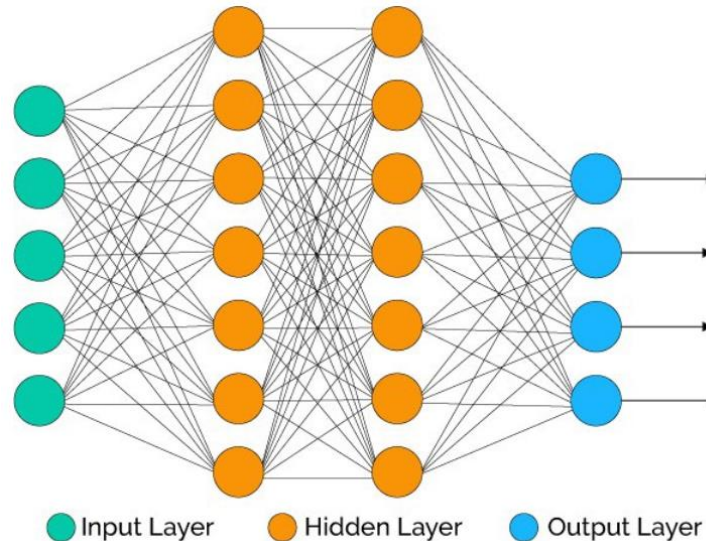
## Regression based

$$y_t = \underbrace{\alpha_1 y_{t-1} + \dots + \alpha_p y_{t-p}}_{AR \text{ terms}} + \varepsilon_t + \underbrace{\theta_1 \varepsilon_{t-1} + \dots + \theta_q \varepsilon_{t-q}}_{MA \text{ terms}}$$

*AR terms*

*MA terms*

## Neural network based

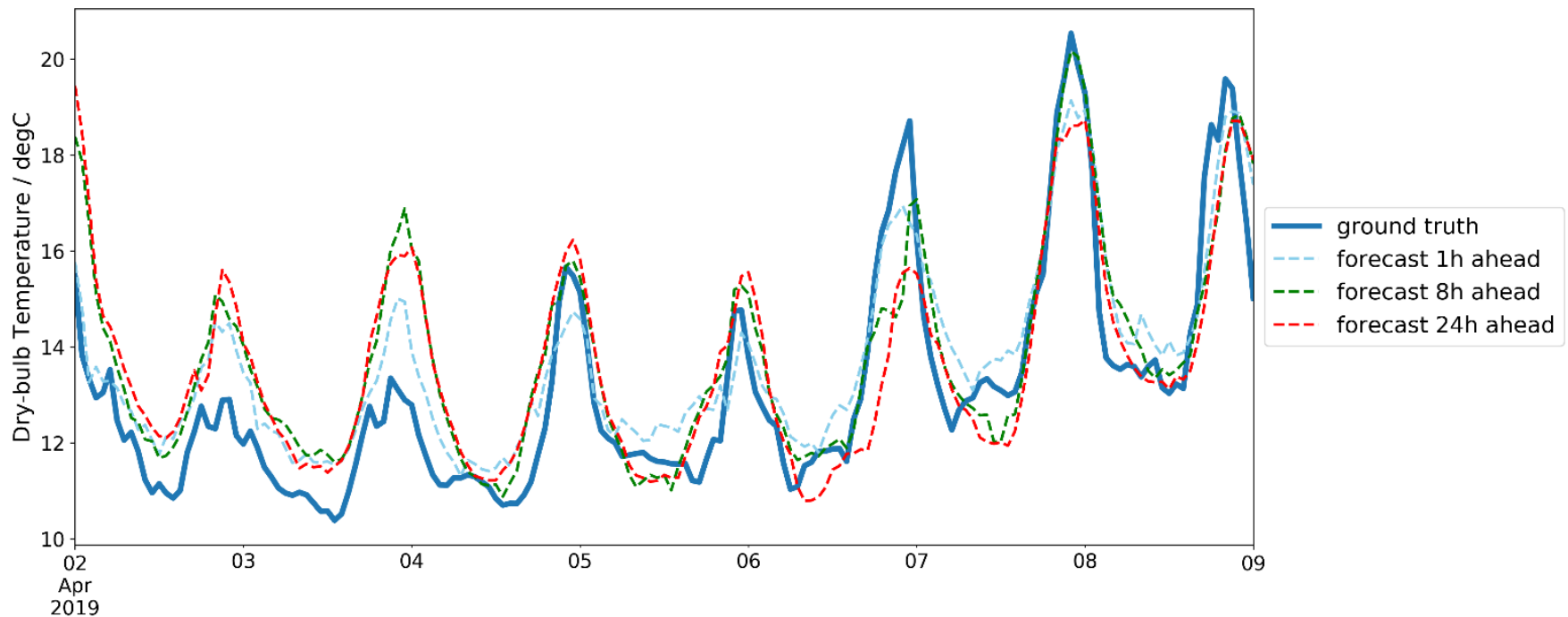


- Each neuron is a linear regression plus activation function
- Could capture *any form of relation* theoretically

# Prediction under Uncertainty

## ◆ Problems

- ❑ Adding relevant feature is helpful
- ❑ In real-time prediction, the input feature is also predicted, which unavoidably has errors



# Prediction under Uncertainty

- Which approach is more robust to input uncertainty

Statistical

ML

*Winner!*

Shallow  
ML

*VS*

Deep  
learning

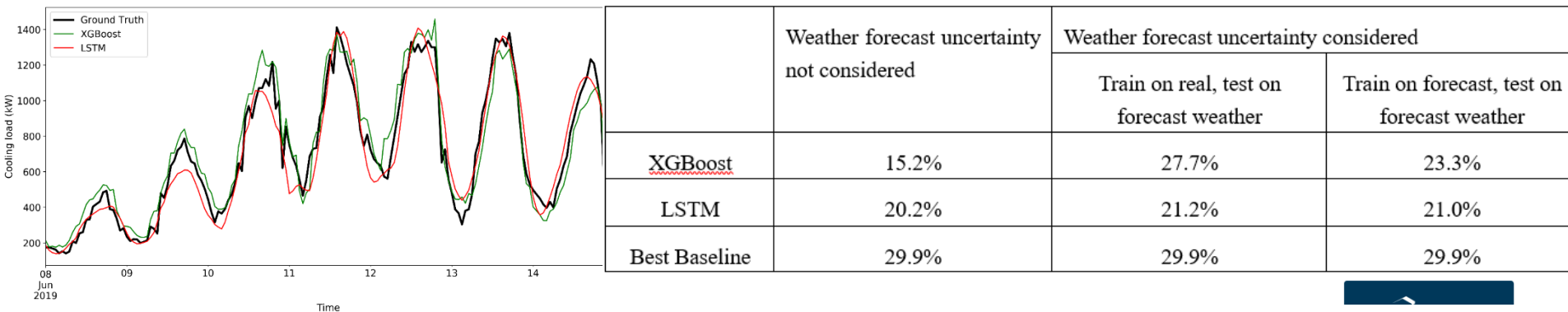
# Prediction under Uncertainty

## ◆ Case

- Building load prediction
- Compare *XGBoost* (shallow) vs. *LSTM* (deep)

## ◆ Finding

- **Without** input uncertainty: shallow model outperforms
- **With** input uncertainty: deep model outperforms



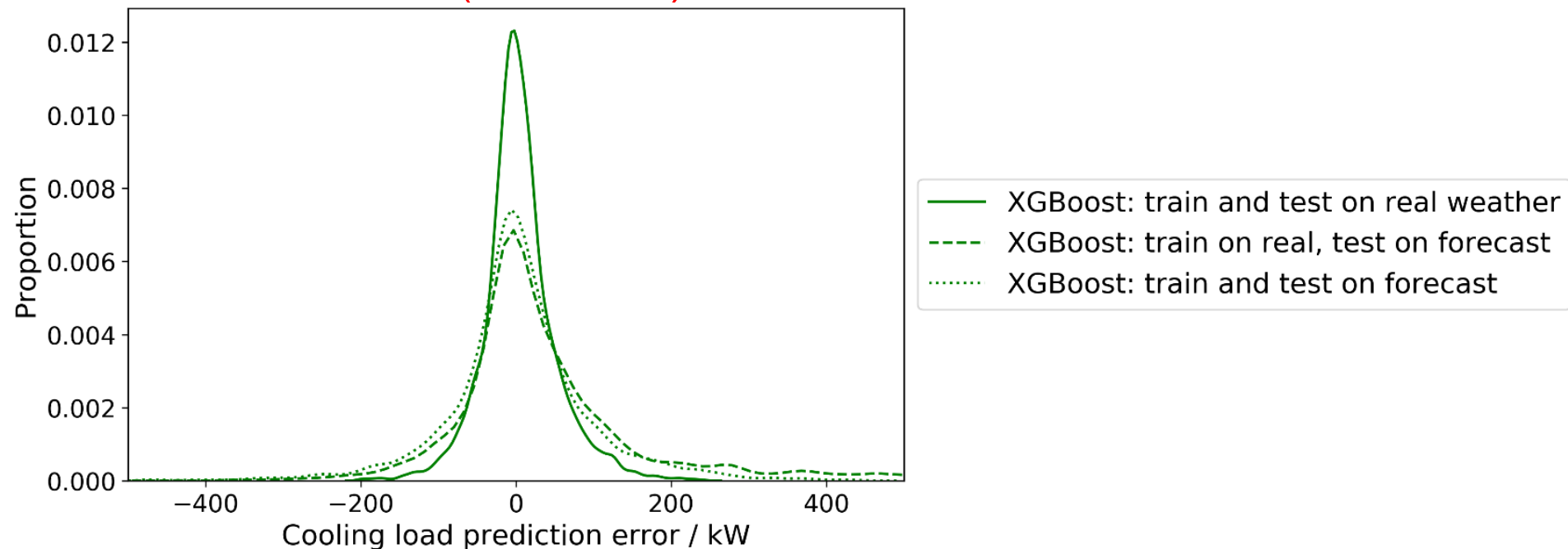
Prediction result

Error: CV(RMSE)

# Prediction under Uncertainty

## ◆ Implications

- ❑ Uncertainty needs to be considered
- ❑ Deep learning is recommended
- ❑ The model is recommended to be **trained using the forecasted (uncertain) weather data**



# Prediction: Summary

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## ◆ Accuracy

- ML outperforms statistical approach

## ◆ Uncertainty

- Deep model is more robust to input uncertainty
- Expose model to uncertainty during training stage

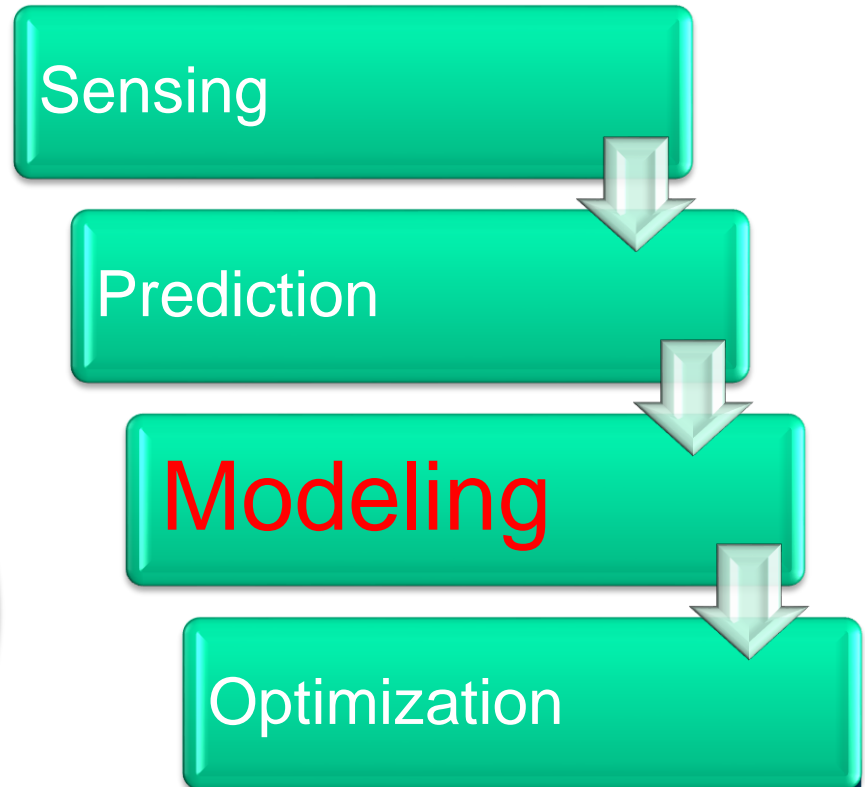


# Smart Buildings

What?



How?



# Modelling

*All models are wrong, but some are useful*  
*-- George Box*

# Modeling

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## ◆ Conventional building

- *Feedback* control



## ◆ Smart building

- *Model-based*  
*Feedforward* control

## ◆ Problem

- Gap between model and reality

## ◆ Our work

- Improve modelling accuracy: consider occupant behaviors
- Data-driven approach

# Modeling Occupant Behaviors (OB)

## ◆ Motivation

- ❑ OB: major source for performance gap
  - Fixed schedule vs. dynamic, stochastic
- ❑ Need new OB modelling tools

## ◆ Tool developments

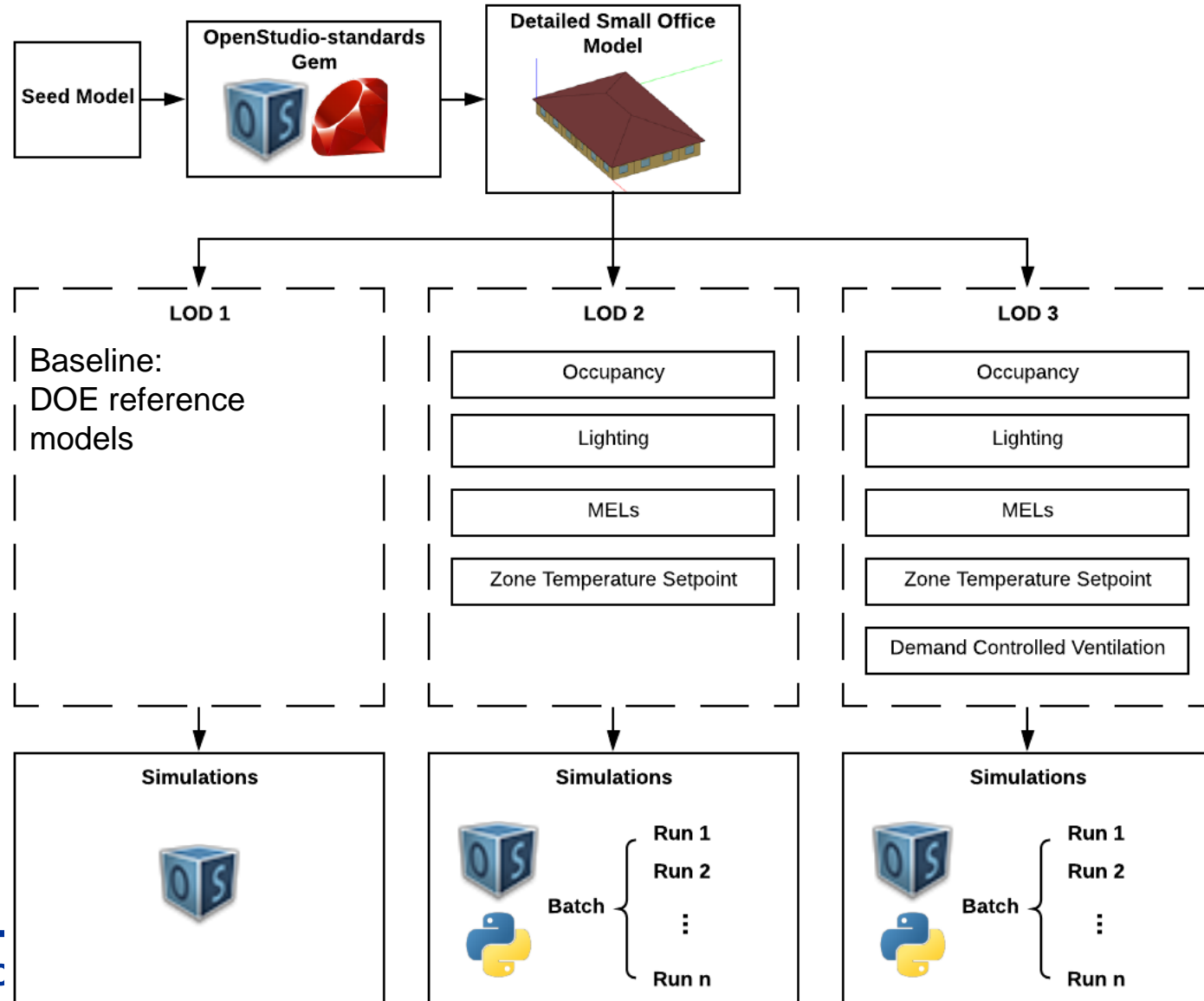
- ❑ Developed *Buildings.Occupants*, and open-sourced with *Modelica Buildings Library*
  - Simulate *occupancy, lighting, windows, blinds, heating and thermostat* behaviors in *office and residential* buildings
  - Include 34 models in the current version



# Modeling Occupant Behaviors

## Application

- Realistic building load curve



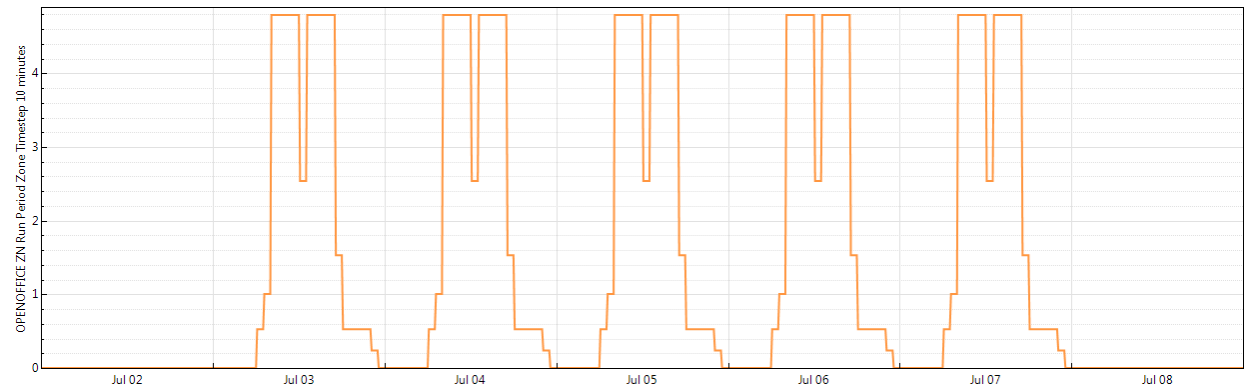
# Modeling Occupant Behaviors

## ◆ Application

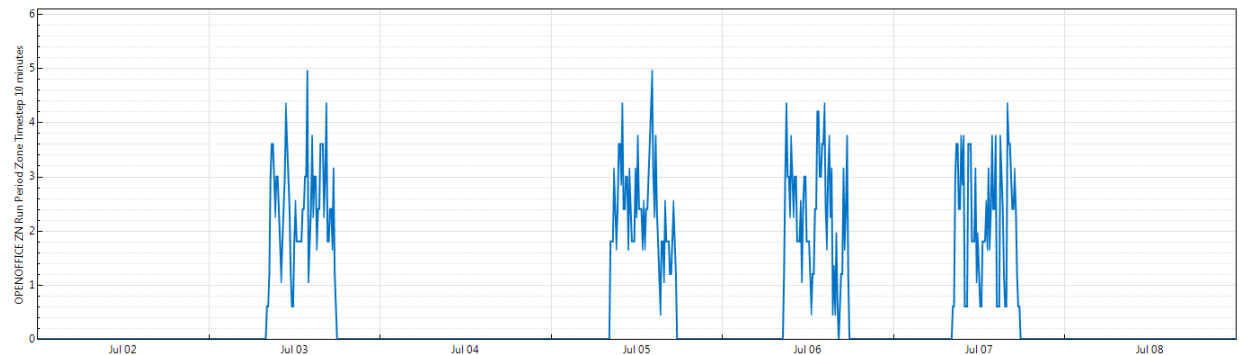
- ❑ Realistic building load curve

Which one is more realistic?

*DOE Reference model*

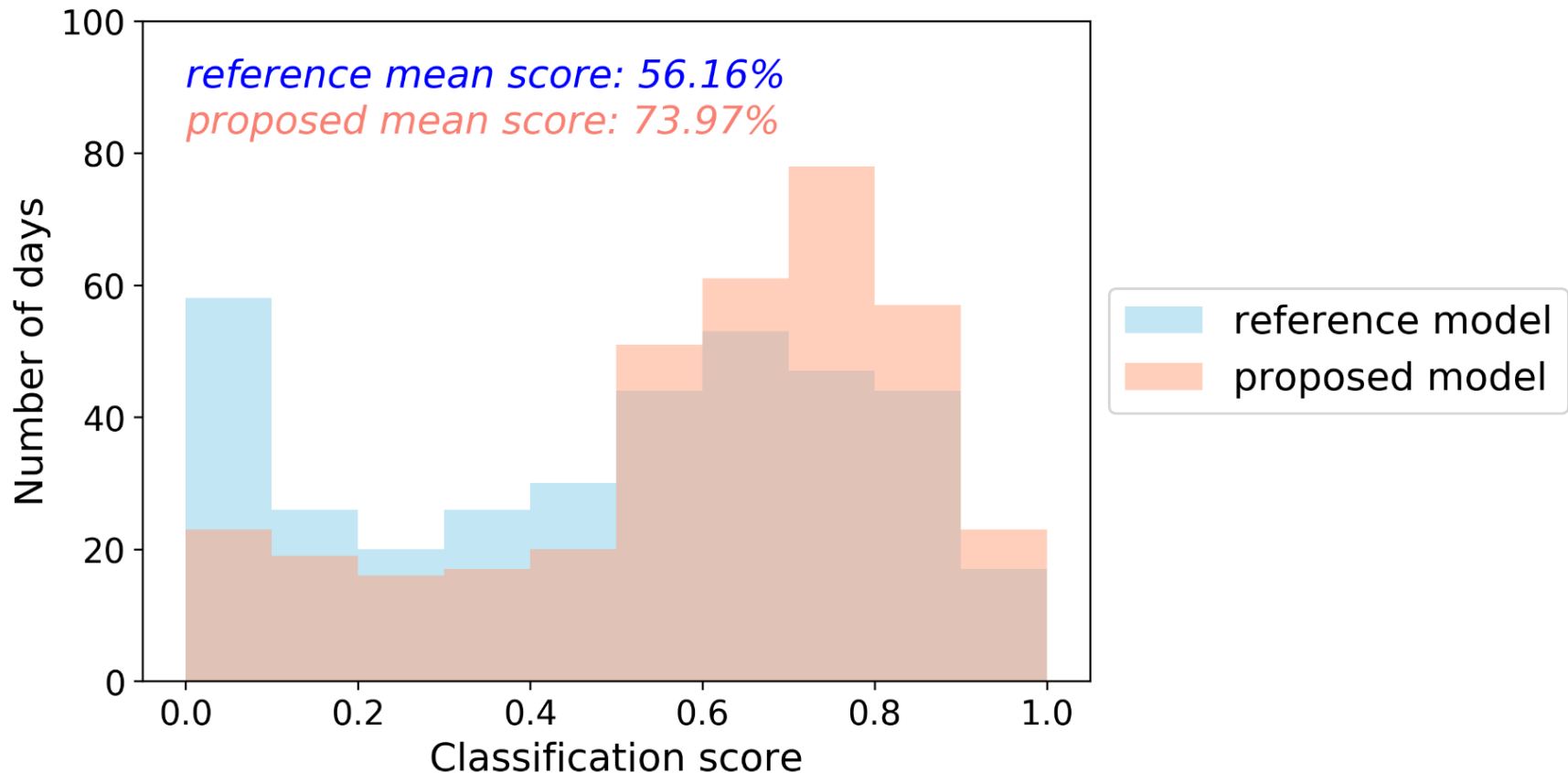


*Our proposed model*



# Modeling Occupant Behaviors

## ◆ Results



- Classification score: output of the sigmoid function
  - Approaching to 1: more similar to the measured data
  - Approaching to 0: less similar to the measured data

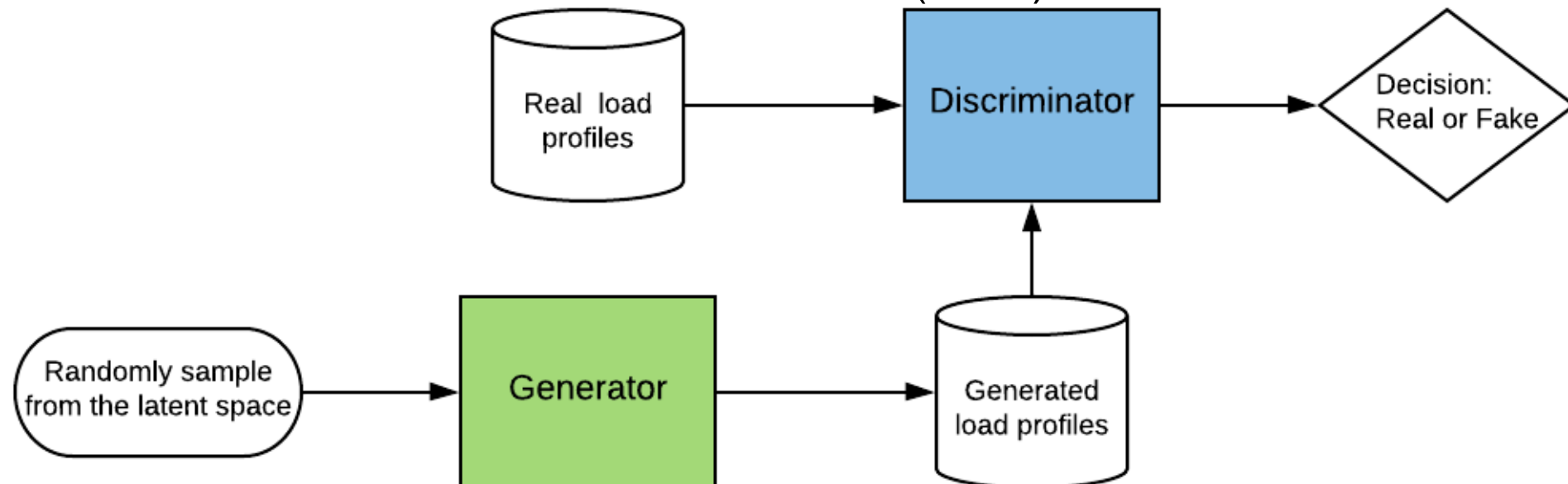
# Data-driven Model-free Approach

## ◆ Motivation

- ❑ Physics-based model: too many assumptions and inputs

## ◆ Solution

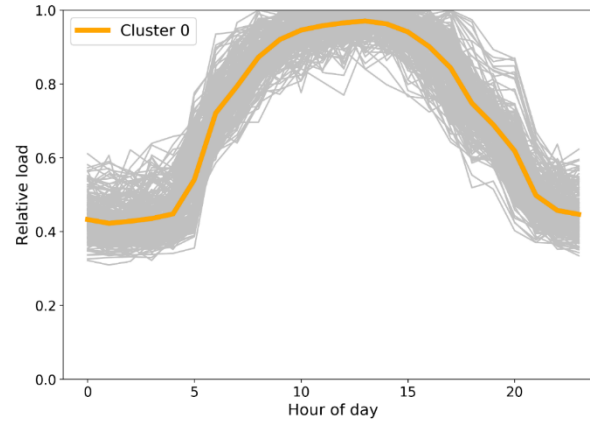
- ❑ Explored data-driven approach
- ❑ Generative Adversarial Network (GAN)





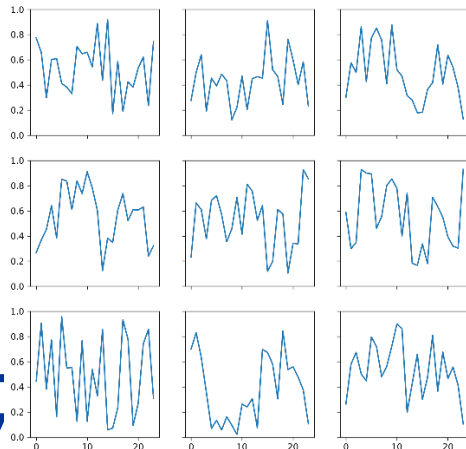
# Data-driven Model-free Approach

## Smart meter data

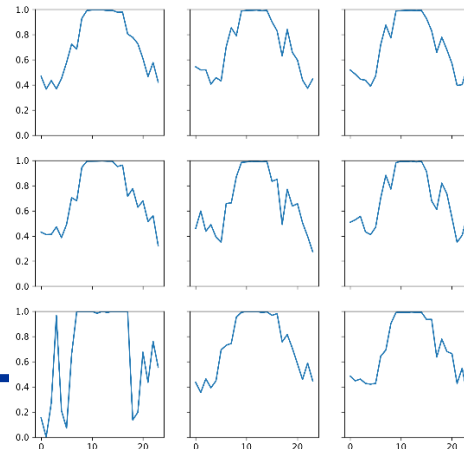


## Generated by GAN

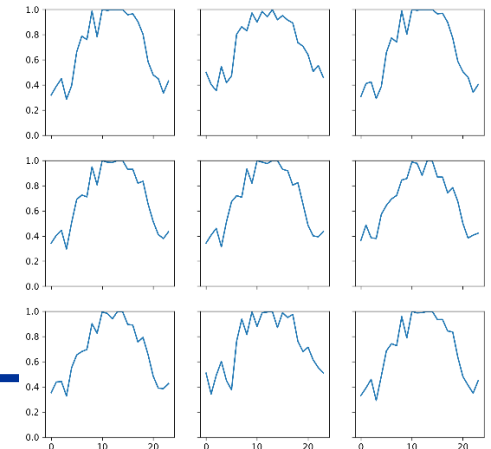
*Random initialization*



*Epoch: 90*



*Epoch: 150*



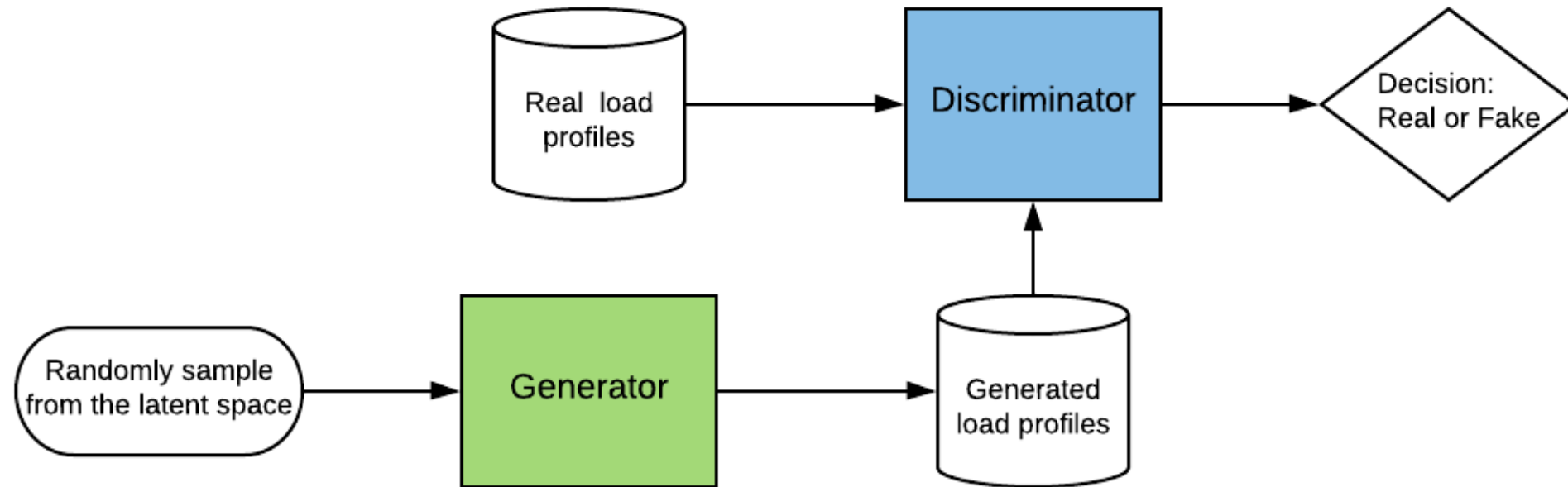
Energy

# Data-driven Model-free Approach

## ◆ Application

□ Validation

□ Alert



□ Anonymize

□ Forecast

# Modelling: summary

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## ◆ Accuracy

- Modelling occupant behavior to reduce performance gap

## ◆ Data-driven

- Use GAN to generate building load

# Contents

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## ◆ Previous work

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- Modeling

## ◆ Thoughts and future plans

- Data-driven vs. physics-based
- How

# Physics-based

## ◆ Pain-point

- Every building is unique



Case by case

VS



Photo courtesy: twincities.com



Photo courtesy: BusinessInsider

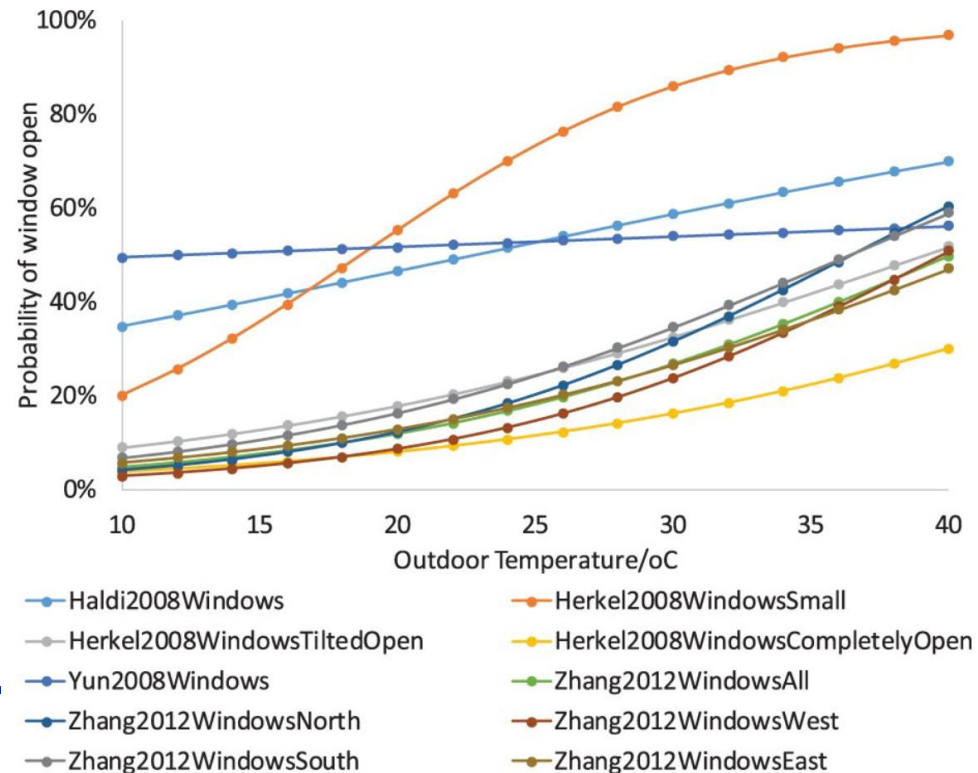
Standardized mass production

# Physics-based

## ◆ Pain-point

- ❑ Every building is unique
  - A detailed model might not make economic sense
- ❑ Make simplifications
  - Difficult assumptions
  - Uncertainty/error propagation
- ❑ Interact with non-deterministic humans

Source: Wang et al., 2019



# Data-driven

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## ◆ Architecture

- Reflect the nature of the problem/building
  - Customize the *structure*, encoding the nature of physics
  - Customize the *objective function*, based on the problem to be solved

## ◆ Parameters

- Learned from the data
  - No assumptions are needed
  - Let the data speak

# Data-driven

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- ◆ **Benefits and improvements**
  - More *flexible* model architecture
  - More *powerful* parameter identification
- ◆ **Has improved **sensing, prediction, and modelling****
- ◆ **What's next?**



# Plan

- Occupant responsive
- Grid interactive

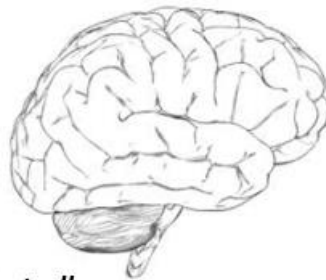
◆ LDRD project starting soon

### Control actions

- Controllable parameters might vary by buildings and HVAC systems

### Target building

- Equipped with onsite renewables and energy storage; interacting with the grid and occupants



### DRL Controller

- Different learning algorithms and approaches

### Rewards

- Energy consumption
- Utility bills
- Occupant well-being

## Sensing

### States from observation

- Indoor environment
- Outdoor environment
- Grid signals
- Occupant feedback

### Real building



### Simulation environment



### Smart grid

- Utility price
- DR signals



### Onsite renewable



### Energy storage



### Human-building interactions

## Optimization

## Modelling



### States from prediction

- Weather forecast
- Inferred occupant counts
- Predicted internal loads ...

## Prediction

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**Thanks for your attention**

# About myself

## ◆ Education

2011	Bachelor	Civil Engineering	Tsinghua
2014	Master	Energy Technology	University of Cambridge
2017	Ph.D.	Civil Engineering	Tsinghua

## ◆ Working

2016-2018	Energy consultant	World Bank
2017-2018	Postdoc	UC Berkeley
2018-2019	Postdoc	LBNL

## Google Scholar

Cited by

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Citations	335	335
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i10-index	11	11

